

# Formalization of multiple Eisenstein series

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Part 1: (Formal) Multiple Eisenstein series.  
(B.) History/Motivation, Definition & some results  
(The bi setup)

Part 2: The balanced setup, balanced  $\Leftrightarrow$  bi.  
(Burmester)

Part 3: An analogue of Racinet's approach  
(Burmester) to formal multiple zeta values.

Part 4: Derivations and  $sl_2$ -action on  $G^f$ .  
(B.)

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## Part 4: Derivations & $sl_2$ -action on $G^f$

Recall Part 1: bi-setup  $A^{bi} = \left\{ \begin{bmatrix} k \\ d \end{bmatrix} \mid k \geq 1, d \geq 0 \right\}$

$$aw * bv = a(w * bv) + b(aw * v) + (a \diamond b)(w * v), \quad \begin{bmatrix} k_1 \\ d_1 \end{bmatrix} \diamond \begin{bmatrix} k_2 \\ d_2 \end{bmatrix} = \begin{bmatrix} k_1 + k_2 \\ d_1 \diamond d_2 \end{bmatrix}$$

Definition The algebra of FMES is defined by  
$$G^f = \frac{(\mathbb{Q}\langle A^{bi} \rangle, *)}{\langle \partial(w) - w \mid w \in \mathbb{Q}\langle A^{bi} \rangle \rangle}.$$

- We write  $G^f \left( \begin{smallmatrix} k_1, \dots, k_r \\ d_1, \dots, d_r \end{smallmatrix} \right)$  for the class of  $\begin{bmatrix} k_1, \dots, k_r \\ d_1, \dots, d_r \end{bmatrix}$ .
- We set  $G^f(k_1, \dots, k_r) := G^f \left( \begin{smallmatrix} k_1, \dots, k_r \\ d_1, \dots, d_r \end{smallmatrix} \right)$ .

Set  $G^{f,0} := \langle G^f(k_1, \dots, k_r) \mid k_1, \dots, k_r \geq 1, r \geq 0 \rangle_{\mathbb{Q}}$

Notice:  $G^{f,0}$  is a subalgebra

Conjecture:  $G^f = G^{f,0}$ .

(work in progress  
B.-Brindle-van-Ittersum)

Example:  $G^f \begin{pmatrix} 2,1 \\ 1,0 \end{pmatrix} = G^f(3,1) - G^f(2,1,1) + G^f(2,2)$

## 4.1 CMES & depth one

In depth one the FMES satisfy the same relations as classical Eisenstein series and their derivatives.

More precisely: For an  $\mathbb{Q}$ -algebra  $R$  we call an algebra homomorphism  $G^f \rightarrow R$  a realization of  $G^f$  in  $R$ .

Then we have:

Theorem (B.-Burmester, 2022) There exists a realization

$$G: G^f \longrightarrow \mathbb{Q}\langle\langle q \rangle\rangle$$

with  $G^f(k) \mapsto G(k) := -\frac{B_k}{2k!} + g(k) \quad (k \geq 2)$

More generally: For  $k > d \geq 0$

$$G^f\left(\begin{matrix} k \\ d \end{matrix}\right) \mapsto \frac{(k-d-1)!}{(k-1)!} \left(q \frac{d}{dq}\right)^d G(k-d),$$

i.e.  $G^f\left(\begin{matrix} k-1 \\ 1 \end{matrix}\right) \mapsto \frac{1}{k-2} q \frac{d}{dq} G(k-2)$    

We expect that  $G$  is injective. In particular, in depth one the  $G^f$  satisfy the same algebraic relations as Eisenstein series, which is known:

Theorem (B.-Kühn-Matthes, 2020) i) For even  $k \geq 4$

$$\frac{k+1}{2} G^f(k) = G^f\left(\begin{matrix} k-1 \\ 1 \end{matrix}\right) + \sum_{\substack{k_1+k_2=k \\ k_1, k_2 \geq 2 \text{ even}}} G^f(k_1) G^f(k_2)$$

ii) For all even  $k \geq 6$  we have

$$\frac{(k+1)(k-1)(k-6)}{12} G^f(k) = \sum_{\substack{k_1+k_2=k \\ k_1, k_2 \geq 4 \text{ even}}} (k_1-1)(k_2-1) G^f(k_1) G^f(k_2)$$

In particular,  $G^f(k) \in \underbrace{\mathbb{Q}[G^f(4), G^f(6)]}_{//}$   $k \geq 8$   
 even  
 formal modular forms.

bi-version of projection  $p$  seen in part 3:

Theorem (B.-Matthies-v.Herzsum, 2021)  
 unpublished note

i) There exists a surjective algebra homomorphism

$$\pi: \mathcal{G}^f \rightarrow \mathbb{Z}^f \quad \text{"projection to the constant term"}$$

ii) The kernel of  $\pi$  is the ideal generated by  $G^f(1)$

and all elements which are not of the form

$$G^f \left( \begin{matrix} l_1, \dots, l_s, k_1, \dots, k_r \\ d_1, \dots, d_s, 0_1, \dots, 0_r \end{matrix} \right) \quad s, r \geq 0.$$

iii)  $\pi(\mathcal{G}^{f,0}) = \mathbb{Z}^f.$

## 4.2 Quasimodular forms & $sl_2$ -algebras

Let  $M = \mathbb{Q}[G(4), G(6)] \subset \tilde{M} = \mathbb{Q}[G(2), G(4), G(6)]$   
be the rings of modular & quasimodular forms  
(with rat. coefficients)

Denote by  $M_k, \tilde{M}_k$  the weight  $k$  parts.

Write  $D = q \frac{d}{dq}$ .

Then we have the following well known facts:

Proposition i) We have

$$D G(2) = 5 G(4) - 2 G(2)^2,$$

$$D G(4) = 8 G(6) - 14 G(2)G(4),$$

$$D G(6) = \frac{120}{7} G(4)^2 - 12 G(2)G(6)$$

and therefore  $q \frac{d}{dq} \tilde{M}_k \subset \tilde{M}_{k+2}$ .

ii) Any  $f \in \tilde{M}_k$  can be written uniquely as

$$f = \sum_{j=0}^{\lfloor \frac{k}{2} \rfloor} f_j G(2)^j \quad \text{with } f_j \in M_{k-2j}.$$

On  $\tilde{\mathfrak{M}}$  we can define two more derivations defined on the weight graded parts by

$$W: \tilde{\mathfrak{M}}_k \rightarrow \tilde{\mathfrak{M}}_k \quad \text{weight operator}$$

$$f \mapsto kf$$

$$\delta: \tilde{\mathfrak{M}}_k \rightarrow \tilde{\mathfrak{M}}_{k-2} \quad \text{"Derivative with respect to } G(2)\text{"}$$

determined by  $\delta(G(2)) = -\frac{1}{2}$ ,  $\delta(G(t)) = \delta(G(6)) = 0$ .

Notice:  $\mathfrak{M} = \ker \delta$ .

Lie algebra  $\mathfrak{sl}_2$ : • 3-dimensional Lie algebra.

• Matrix representation  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ ,  $a+d=0$

Basis:  $\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ ,  $\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $\begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$   
 $\sim H$      $\sim X$      $\sim Y$

• A triple of operators  $(X, H, Y)$  is called  $\mathfrak{sl}_2$ -triple if

$$[H, X] = 2X, \quad [H, Y] = -2Y, \quad [Y, X] = H.$$

• If these operators act on an algebra  $A$  by derivations the  $A$  is called an  $\mathfrak{sl}_2$ -algebra.

Proposition:  $(\delta, W, D)$  is an  $\mathfrak{sl}_2$ -triple  $\Rightarrow \tilde{\mathfrak{M}}$   $\mathfrak{sl}_2$ -algebra

## 4.3 Formal quasimodular forms & Derivations on $G^f$

Theorem (B.-v. Itterum, 2023)

- i) There exist derivations  $(\delta^f, W^f, D^f)$  which make  $G^f$  into a  $sl_2$ -algebra.
- ii)  $G^{f,0}$  is a  $sl_2$ -subalgebra.

Definition i) We define the formal quasimodular forms  $\tilde{M}^f$  as the smallest  $sl_2$ -subalgebra of  $G^f$  containing  $G^f(2)$ .

ii) We define the algebra of formal modular forms by  $M^f := \text{Ker } \delta_M^f$ .

Theorem (B.-v. Itterum) i)  $\tilde{M}^f \cong \tilde{M}$   
as  $sl_2$ -algebras

ii)  $M^f \cong M$ .

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The derivations are given as follows:

$$W^f \left( G^f \begin{pmatrix} k_1, \dots, k_r \\ d_1, \dots, d_r \end{pmatrix} \right) = (k_1 + \dots + k_r + d_1 + \dots + d_r) G^f \begin{pmatrix} k_1 - d_1 \\ d_1, \dots, d_r \end{pmatrix}$$

$$D^f(G^f(k_1, \dots, k_r)) = \sum_{j=1}^r k_j G^f(k_1, \dots, k_{j+1}, \dots, k_r)$$

Proposition (B.-Burmerter)  $G$  is differential alg. hom.

$\delta^f(\dots) =$  sum of 5 derivations  
on  $(\mathbb{Q}\langle A \rangle, *)$ , which (by magic)  
commute with  $\delta$

Remark: • We define these derivations on  
 $(\mathbb{Q}\langle A \rangle, *)$  and show that they commute  
with  $\delta$

They seem to be unique with that  
property!

- There seem to be also derivations of  
negative odd weight.

• On  $G^{f,0}$  we have: (view  $G^f$  as a map)

$b^i \rightarrow E_0^+$
$z_k \mapsto G^f(z_k)$

$$D^f(G^f(w)) = G^f(z_2 * w - z_2 \# w)$$



$$\begin{aligned}
\delta^f(G^f(k_1, \dots, k_r)) &= -\frac{1}{2} \mathbb{1}_{k_1=2} G^f(k_2, \dots, k_r) \\
&+ \frac{1}{4} \mathbb{1}_{k_1=k_2=1} G^f(k_3, \dots, k_r) \quad \mathbb{1}_p = \sum_{i=1}^p \delta_{i,p} \\
&+ \frac{1}{2} \sum_{j=1}^{r-1} \mathbb{1}_{k_j=1} G^f(k_1, \dots, k_{j-1}, k_{j+1}-1, \dots, k_r) \\
&- \frac{1}{2} \sum_{j=2}^r \mathbb{1}_{k_j=1} G^f(k_1, \dots, k_{j-1}-1, k_{j+1}, \dots, k_r)
\end{aligned}$$


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Summary:

$$A = \left\{ \begin{bmatrix} k \\ d \end{bmatrix} \mid k \geq 1, d \geq 0 \right\} \quad B = \{ b_k \mid k \geq 0 \}$$

$$G^f(k_1, \dots, k_r) \frac{\mathbb{Q}\langle A \rangle}{S} \cong \mathbb{Q}\langle B \rangle^{\circ} / T$$

$\underbrace{\hspace{1cm}}_{\text{bi}}$ 
 $\underbrace{\hspace{1cm}}_{\text{balanced}}$

